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JOB APPLICANT PRIVACY NOTICE

This Job Applicant Privacy Notice sets out what personal data we, Step by Step, hold about you and how we collect and use it during and after the recruitment process. It applies to anyone who is applying to work for us, whether as an employee, worker, contractor, consultant, intern, volunteer, partner or director (together referred to as 'Job Applicant' or 'you').

Please note that we will not necessarily hold, use or share *all* of the types of personal data described in this Privacy Notice in relation to you. The specific types of data about you that we will hold, use and share will depend on the role for which you are applying, the nature of the recruitment process, how far you progress in the recruitment process and your individual circumstances.

We are required by data protection law to give you the information in this Privacy Notice. It is important that you read the Privacy Notice carefully, together with any other similar or additional information that we might give you from time to time about how we collect and use your personal data. Should your application be successful, when you start work for us, we will provide you with another privacy notice that explains how we deal with your personal data whilst you are working for us and after you have left.

This Privacy Notice applies from 28th April 2021 and supersedes any previous versions. It does not give you any contractual rights. We may update this Privacy Notice at any time.

Who is the controller?

Elaine Hill, Elaine.Hill@stepbystep.org.uk is the "controller" for the purposes of data protection law. This means that we are responsible for deciding how we hold and use personal data about you.

Our Data Protection Officer is Elaine Hill, Elaine.Hill@stepbystep.org.uk. As Data Protection Officer, they are responsible for informing and advising us about our data protection law obligations and monitoring our compliance with these obligations. They also act as your first point of contact if you have any questions or concerns about data protection.

What is personal data?

Personal data means any information relating to a living individual who can be identified (directly or indirectly), in particular by reference to an identifier (e.g. name, NI number, employee number, email address, physical features). It can be factual (e.g. contact details or date of birth), an opinion about an individual's actions or behaviour, or information that may otherwise impact that individual in a personal or business capacity.

Data protection law divides personal data into two main categories: ordinary personal data and special category data. Any personal data about an individual's racial or ethnic origin, political opinions, religious or philosophical beliefs, trade union membership, physical or mental health, sex life or sexual orientation, criminal convictions or offences, biometrics (if used for identification purposes), or genetics, we refer to in this Privacy Notice as **special category data**. (The rest is **ordinary personal data**.)



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What type of ordinary personal data do we hold about you and why?

At the initial stages of recruitment, we collect, hold and use the following types of ordinary personal data about you:

- Information contained in your application form/CV/covering letter, including your name, title, contact details, photograph if used in your CV, employment history, experience, skills, qualifications/training (including educational, vocational, driving licences where appropriate), referees' names and contact details, etc.
- Publicly available information about you, such as your business social media presence.
- Selection information, including correspondence, interview notes, internal notes, the results of any written or online selection tests.
- Information contained in your completed application form including your name, contact details, NI number, referees, employment history, training and professional development and disclosure of convictions statement.
- Information contained in your Equal Opportunities form, such as what role you have applied for, your age band, your ethnic group and details on where you found the role advertised.

If you are shortlisted for a position, or you receive a conditional offer of employment, we may collect, hold and use the following additional types of ordinary personal data about you:

- Pre-employment check information, including references and verification of qualifications
- Right to work checks and related documents
- DBS check details, such as a completed self-declaration DBS form and relevant ID such as passport, driving licence, utility bills etc.

We hold and use this personal data so that we can:

- process your application and correspond with you about it;
- assess whether you have the required skills, experience, qualifications and training for a role within the company;
- make informed recruitment decisions;
- verify information provided by you;
- check and demonstrate that you have the legal right to work in the UK;
- keep appropriate records of our recruitment process and decisions;
- check for any criminal convictions via a DBS check.
- provide details on equal opportunities.

What are our legal grounds for using your personal data?

Data protection law specifies the legal grounds on which we can hold and use personal data.

We rely on one or more of the legal grounds set out below when we process your personal data. (Note that, where we are processing special category data, we will have an additional legal ground as well – see below, **What type of special category personal**



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grounds?)

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data do we hold about you, why, and on what legal

- We need it to take steps at your request in order to enter into a contract with you (**entry into a contract**), because by applying for a job with us you are effectively asking us to enter into a contract with you whether this is an employment contract, a contract for services or another type of contract.
- We need it to comply with a legal obligation (**legal obligation**), e.g. the obligation not to discriminate during our recruitment process, or the obligation not to employ someone who does not have the legal right to work in the UK.
- It is necessary for our legitimate interests (or those of a third party) and your interests and fundamental rights do not override those interests (**legitimate interest**). For example, it is in our legitimate interests to review and consider your personal data (as listed above) so that we can select the most appropriate candidate for the job.

What type of special category personal data do we hold about you, why, and on what legal grounds?

We will only collect, hold and use limited types of special category data about you during the recruitment process, as described below.

Since special category data is usually more sensitive than ordinary personal data, we need to have an additional legal ground (as well as the legal grounds set out above – **What are our legal grounds for using your personal data?**) to collect, hold and use it. The additional legal grounds that we rely on to collect, hold and use your special category data are explained below for each type of special category data.

At the initial stages of recruitment, we collect, hold and use the following special category data about you:

Equal opportunities monitoring

Equal opportunities monitoring data which could include information about your race or ethnicity, religious beliefs, sexual orientation or health. We use this information to monitor equality of opportunity and diversity in our recruitment process. Our additional legal ground for using this information is that it is necessary in the public interest for the purposes of equal opportunities monitoring and is in line with our Data Protection Policy.

Adjustments for disability/medical conditions

Information relevant to any request by you for adjustments to the recruitment process as a result of an underlying medical condition or disability. We use this information to enable us to carry out a fair, non-discriminatory recruitment process by considering/making reasonable adjustments to our process as appropriate. Our additional legal ground for using this information is that we need it to comply with a legal obligation/exercise a legal right in relation to employment – namely, the obligations not to discriminate, and to make reasonable adjustments to accommodate a disability – and such use is in line with our Data Protection Policy.

If you are shortlisted for a position, or you receive a conditional offer of employment, we



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Criminal records information/DBS checks

Where you are applying for a job that is on the excepted occupations list under the Rehabilitation of Offenders Act 1974, we may request criminal records checks from the DBS. We may ask you to disclose if you have any criminal convictions and/or we may ask you to seek a criminal records check from the DBS via a third party vetting company and disclose the results to us. Where we seek this information, we use it to assess your suitability for the role and this is necessary because it forms a key part of our safer recruitment process. A DBS check refers to the Disclosure and Barring Services, which helps Step by Step make safer recruitment decisions and prevent unsuitable people from working with vulnerable people, including children. A DBS check helps us to check if applicants have any criminal records or are on any barring lists which would raise concern about their ability for them to be able to fulfil their duties in the role. Most importantly, DBS checks enable Step by Step to make sure the people in our care are protected. For jobs that are on the excepted occupations list, our additional legal ground for using this information is that it is necessary for compliance with a legal obligation/exercise of a legal right in relation to employment. In all other cases, our additional legal ground for using this information is that it is necessary for the prevention or detection of unlawful acts (and we process it in accordance with an appropriate policy document).

How do we collect your personal data?

You provide us with most of the personal data about you that we hold and use, for example in your written application, by completing any assessments and during any interviews.

Some of the personal data we hold and use about you is generated from internal sources during the recruitment process. For example, the person interviewing you may score your suitability for the role and we record the reasons for decisions made about whether or not your application is successful.

Some of the personal data about you that we hold and use may come from external sources: For example, a recruitment agency provides us with a shortlist of candidates. If we offer you a role, we will carry out pre-employment checks, such as taking up references from past employers or education providers: and we may check your qualifications by contacting the awarding body. We may seek a criminal record check from the DBS. We use a third-party provider to conduct background checks and criminal record checks on successful Job Applicants. In some circumstances, we may ask the Home Office for information about your immigration status to verify your right to work in the UK. For some roles, we may also obtain information about you from publicly available sources, such as your LinkedIn profile or other media sources.

Who do we share your personal data with and on what legal grounds?

Recruitment agencies

We engage recruitment agencies to provide us with the details of suitable candidates for our available vacancies, to communicate with those candidates, to handle administration in connection with the recruitment process. If we have received your initial application



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Medical/occupational health professionals

We may share information relevant to any request by you for adjustments to the recruitment process as a result of an underlying medical condition or disability with medical/occupational health professionals to enable us to identify what, if any, adjustments are needed in the recruitment process and, if you are successful, once you start work. This information may also be used by the medical/occupational health professionals to carry out assessments required by health and safety legislation. Our ordinary legal grounds for sharing this personal data are that: it is necessary for entry into a contract; and it is in our legitimate interests to consider adjustments to enable Job Applicants to participate fully in the recruitment process. Our additional special category legal ground for sharing this personal data is that it is necessary to comply with our legal obligations/exercise legal rights in the field of employment (obligations not to discriminate, to make reasonable adjustments, to comply with health and safety requirements).

Legal/professional advisers/insurers

We share any of your personal data that is relevant, where appropriate, with our legal and other professional advisers and our insurers, in order to obtain legal or other professional advice about matters related to you or in the course of dealing with legal disputes with you or other Job Applicants. Our ordinary legal ground for sharing this personal data is that it is in our legitimate interests to seek advice to clarify our rights/obligations and appropriately defend ourselves from potential claims. Where we share special category personal data, our additional legal grounds for doing so are that: it is necessary to comply with our legal obligations/exercise legal rights in the field of employment; and/or it is necessary to establish, exercise or defend legal claims.

Home Office

We may share your right to work documentation with the Home Office, where necessary, to enable us to verify your right to work in the UK. Our legal ground for sharing this personal data is to comply with our legal obligation not to employ someone who does not have the right to work in the UK.

Your referees

If you provide us with contact details for professional and/or character referees, we may contact them to request information about your previous employment and their view as to your suitability for the role you have applied for with us. We will only share with them the details of the job you have applied for and such personal data as necessary to enable them to identify you. We will only contact your referees once we have made you a conditional offer of employment and you have indicated your acceptance. Our legal ground for sharing this personal data is that it is in our legitimate interests to verify your suitability for the role and ensure we engage the most suitable candidate.

Background checking/vetting



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Disclosure and Barring Service

Where you have been offered a job that is on the excepted occupations list under the Rehabilitation of Offenders Act 1974, we may share any of your personal data that is necessary for us to obtain a standard or enhanced criminal records check on you from the Disclosure and Barring Service. Our ordinary legal grounds for doing so are that it is in our legitimate interest to ensure that you are suitable for the role in question and, in certain cases, to comply with a legal obligation. Our additional legal ground for doing so is that it is necessary to comply with a legal obligation/exercise of a legal right in relation to employment.

Consequences of not providing personal data

We only ask you to provide personal data that we need to enable us to make a decision about whether or not to offer you a role. If you do not provide particular information to us, then we will have to make a decision on whether or not to offer you a role without that information, which in some cases could result in us deciding not to recruit you. For example, if we ask you to provide *a certificate verifying a qualification* and you do not, we will have to decide whether to recruit you without that information and if you do not provide us with names of referees or a reference when asked, we will not usually be able to offer you the role. In addition, some of the personal data you provide to us is required by law. For example, if you do not provide us with the documentation we need to check your right to work in the UK, then we cannot by law employ you.

If you choose not to provide us with personal data requested, we will tell/remind you about the implications of any such decision at the relevant time.

How long will we keep your personal data?

We will keep your personal data throughout the recruitment process.

If your application is successful and you accept an offer of employment from us, when you start work for us you will be issued with an Employee Privacy Notice which will include information about what personal data we keep from the recruitment process.

If your application is unsuccessful, we will keep your personal data for up to 6 months from the date we notify you of our decision. If your application is successful, but you decide not to accept our offer, we will keep your personal data for up to 6 months from the date you inform us of your decision. There may, however, be circumstances in which it is appropriate for us to keep particular items of your personal data for longer. We will base these decisions on relevant circumstances, taking into account the following criteria:

- the amount, nature, and sensitivity of the personal data



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- the risk of harm from unauthorised use or disclosure
- the purposes for which we process your personal data and how long we need the particular data to achieve these purposes
- how long the personal data is likely to remain accurate and up to date
- for how long the personal data might be relevant to possible future legal claims
- any applicable legal, accounting, reporting or regulatory requirements that specify how long certain records must be kept

Personal data that is held on IT back-up data sets for disaster recovery purposes may also be retained for a longer period. In all cases, we will not keep your personal data for longer than we need it for our legitimate purposes.

If you are unsuccessful for the role for which you have applied, decline a job offer, or sent us a speculative application, then, if you have consented to us doing so, we may keep your personal data on file to identify if you might be suitable for any other vacancies that may arise in the next 12 months. We will contact you if we believe this is the case. We will not keep your personal data for this purpose for longer than 12 months.

If during the period that we have your personal data on file, you wish to apply for any particular vacancy that we have open, please do contact us to make us aware of this – particularly if it is not a close match with your previous experience or is in a different area of our business from a vacancy you applied for previously, as we may not otherwise realise that the vacancy would be of interest to you.

When applying for a particular role, there is no obligation for you to consent to us keeping your personal data on file for consideration for other roles if you do not want to. Your application for the particular role you are putting yourself forward for will not be affected.

If you change your mind about us keeping your personal data on file, you have the right to withdraw your consent at any time – see 'Your rights', below.

References

If you give us details of referees, we require you to inform them what personal data of theirs you are giving to us. You must also give them our contact details and let them know that they should contact us if they have any queries about how we will use their personal data, or, if we ask you to do so, you must pass on to them a separate privacy notice in which we explain what we do with their personal data that we receive from you, although we may also provide them with a specific privacy notice to give them this information.

Your rights

You have a number of legal rights relating to your personal data, which are outlined here:

- **The right to make a subject access request.** This enables you to receive certain information about how we use your data, as well as to receive a copy of the personal data we hold about you.
- **The right to request that we correct incomplete or inaccurate** personal data



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- **The right to request that we delete or remove** personal data that we hold about you where there is no good reason for us continuing to process it. You also have the right to ask us to delete or remove your personal data where you have exercised your right to object to processing (see below).
- **The right to object to our processing** your personal data where we are relying on our legitimate interest (or those of a third party), where we cannot show a compelling reason to continue the processing
- **The right to request that we restrict our processing** of your personal data. This enables you to ask us to suspend the processing of personal data about you, for example if you want us to establish its accuracy or the reason for processing it.
- **The right to withdraw your consent to us using your personal data.** As described above, we do not normally rely on your consent as the legal ground for using your personal data. However, if we *are* relying on your consent as the legal ground for using any of your personal data and you withdraw your consent, you also have the right to request that we delete or remove that data, if we do not have another good reason to continue using it.
- **The right to request that we transfer** your personal data to another party, in respect of data that you have provided where our legal ground for using the data is that it is necessary for the performance of a contract or that you have consented to us using it (this is known as the right to 'data portability').

If you would like to exercise any of the above rights, please contact Rebecca Palmer, HR Manager, Rebecca.Palmer@stepbystep.org.uk in writing. Note that these rights are not absolute and in some circumstances we may be entitled to refuse some or all of your request.

If you have any questions or concerns about how your personal data is being used by us, you can contact our Data Protection Officer Elaine Hill at Elaine.Hill@stepbystep.org.uk

Note too that you have the right to make a complaint at any time to the Information Commissioner's Office (ICO), the UK supervisory authority for data protection issues. Details of how to contact the ICO can be found on their website: <https://ico.org.uk>